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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Dasseux et al.

Confirmation No.: 9584

Application No.: 10/743,952

Group Art Unit: 1614

Filed: December 24, 2003

Examiner: To Be Assigned

For: KETONE COMPOUNDS AND
COMPOSITIONS FOR
CHOLESTEROL MANAGEMENT
AND RELATED USES

Attorney Docket No.: 10173-111-999
(CAM No.: 371855-999106)

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56 and 1.97

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. §§ 1.56 and 1.97 to inform the Patent and Trademark Office of all references coming to the attention of each individual associated with the filing and prosecution of the above-identified application that are or may be related to patentability of the claimed invention, Attorneys for Applicants hereby invite the Examiner's attention to references **A01-A25**, **B01-B05** and **C01-C33** which are listed on the accompanying Form PTO-1449 entitled "List of References Cited By Applicant."

Copies of References **A01-A25**, **B01-B05** and **C01-C33** are not being submitted pursuant to 37 C.F.R. §1.98(d) since these references were made of record in prior application Serial No. 09/976,938, filed October 11, 2001, of which this application claims priority under 35 U.S.C. §120.

Identification of the listed references is not to be construed as an admission that such references are available as "prior art" against the subject application.

Applicants request that the Examiner review all the references identified on the attached Form PTO-1449, and that they be made of record in the file history of the above-identified application.

As this Information Disclosure Statement is being filed pursuant to 37 C.F.R. § 1.97(b)(3) before the mailing date of a first Office Action on the merits, Applicants

estimate that no fee is required. Should a fee be required, the Commissioner is authorized to charge such fee to Jones Day Deposit Account No. 50-3013.

Respectfully submitted,

Anthony M. Insogna, Reg. No. 35,203

Date June 29, 2004

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**LIST OF REFERENCES CITED BY APPLICANT**
(Use several sheets if necessary)

ATTY DOCKET NO.

10173-111-999

APPLICATION NO

10/743,952

APPLICANT

Dasseux et al.

FILING DATE

December 24, 2003

GROUP

1614

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01	6,143,755	11/7/00	Bocan			
	A02	6,124,309	9/26/00	Bocan			
	A03	6,093,719	7/25/00	Bocan			
	A04	6,093,744	7/25/00	Lee et al.			
	A05	6,017,905	1/25/00	Roark et al.			
	A06	5,981,595	11/9/99	Picard et al.			
	A07	5,968,963	10/19/99	Homan			
	A08	5,783,600	6/21/98	Bisgaier et al.			
	A09	5,756,344	5/26/98	Onda et al.			
	A10	5,756,544	5/26/98	Bisgaier et al.			
	A11	5,750,569	5/12/98	Bisgaier et al.			
	A12	5,648,387	7/15/97	Bisgaier et al.			
	A13	5,633,287	5/27/97	Lee et al.			
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	A15	5,504,073	4/2/96	Homan			
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	A17	4,711,896	12/8/87	Bar-Tana et al.			
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	A24	3,773,946	11/20/73	Creger			
	A25	3,441,605	4/29/69	Blake et al.			

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							YES	NO
	B01	WO 01/46110	6/28/01	PCT				
	B02	WO 00/64911	11/2/00	PCT				
	B03	WO 99/00116	1/7/99	PCT				
	B04	WO 98/30530	7/16/98	PCT				
	B05	WO 96/30328	10/3/96	PCT				

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C02	Badimon et al., 1992, "Role of high density lipoproteins in the regression of atherosclerosis," <i>Circulation</i> 86(Suppl. III):86-94
C03	Barrans et al., 1996, "Pre-beta HDL: structure and metabolism," <i>Biochem. Biophys. Acta</i> 1300:73-85
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C06	Bruce et al., 1998, "Plasma lipid transfer proteins, high density lipoproteins, and reverse cholesterol transport," <i>Annu. Rev. Nutr.</i> 18:297-330
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C13	Hidaka and Fidge, 1992, "Affinity purification of the hepatic high density lipoprotein receptor identifies two acidic glycoproteins and enables further characterization of their binding properties," <i>Biochem. J.</i> 284:161-167
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C22	Lazarow and Fujiki, 1985, "Biogenesis of peroxisomes," <i>Annu. Rev. Cell Biol.</i> 1:489-530
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C28	Reddy and Lalwani, 1983, "Carcinogenesis by hepatic peroxisome proliferators: evaluation of the risk of hypolipidemic drugs and industrial plasticizers to humans," <i>Crit. Rev. Toxicol.</i> 12:1-58
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C33	Vamecq and Draye, 1989, "Pathophysiology of peroxisomal β -oxidation," Essays Biochem. <u>24</u> :115-225

EXAMINER	DATE CONSIDERED
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
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